

CLASSIFICATION AS PROFESSIONAL CLIENT

according to § 67 Section 6 Securities Trading Act

Last name

Account number

First name

Please leave blank, if you have no account number

Please note that you must fulfill **at least 2** of the following 3 requirements to be a professional client according to § 67 Section 6 Securities Trading Act

1. You have carried out an average of 10 transactions of considerable size per quarter, looking back over the past 12 months (new customers: please submit evidence).
2. You hold an investment portfolio (including savings and financial instruments) exceeding 500,000 €.
3. You have exercised a profession in the capital market for at least one year, which requires knowledge of the relevant business and investment services. Please specify profession and knowledge:

If you selected criterion Nr. 2 please confirm: Furthermore I confirm that I have unencumbered assets as bank savings and financial instruments worth more than 500,000 €.

Our assessment of your experience and knowledge as to whether you are capable of making investment decisions in general or for a particular type of asset and to appropriately judge the associated risks, comes to no other result.

The classification as a professional client applies to the banking and financial services you have used.

We point out, that due to the change of classification, the protection provisions of the Securities Trading Act for private customers no longer apply.

We underline, that you need to inform us about any change in the classification criteria, since otherwise maintaining the classification as a professional customer would not be objectionable.

I hereby confirm that the above-mentioned account is managed as a **Professional** without negative balance protection

Herewith I confirm the receipt of the Notes on the classification as a Professional Client and that I have taken note of the statements made therein about lower protection regulations of the Securities Trading Act towards professional clients.

I herewith confirm, that I agree to the classification as a professional customer.

Place, Date

Name Account holder